

Docket No.  
Chan "G"

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**LOLLIPOP WITH FLUID RESERVOIR HANDLE AND METHOD OF MAKING SAME**

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

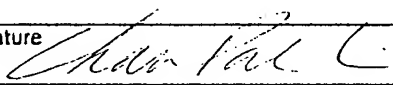
**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Joan H. Pauly, Reg. No. 30,207

Delbert J. Barnard, Reg. No. 20,515

Send Correspondence to: Joan H. Pauly  
BARNARD & PAULY, P.S.  
P.O. Box 58888  
Seattle, WA 98138-1888

Direct Telephone Calls to: *(name and telephone number)*  
Joan H. Pauly (206) 246-0568

Full name of sole or first inventor <b>Pak Nin Chan</b>	
Sole or first inventor's signature 	Date 8/17/01
Residence <b>Kowloon, Hong Kong</b>	
Citizenship <b>Canadian</b>	
Post Office Address <b>Unit A, Chuan Yuan Factory Building, 8/F., 342-344 Kwun Tong Road, Kwun Tong, Kowloon, Hong Kong.</b>	

Full name of second inventor, if any	
Second inventor's signature	Date
Residence	
Citizenship	
Post Office Address	



BARNARD & PAULY, P.S.

APRIL 03, 2002

PTAS

APR 09 2002

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov

BARNARD & PAULY, P.S.  
JOAN H. PAULY  
P.O. BOX 58888  
SEATTLE, WA 98138-1888

RECEIVED



\*101978353A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/24/2002

REEL/FRAME: 012544/0164

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHAN, PAK NIN

DOC DATE: 08/16/2001

ASSIGNEE:

CANDY NOVELTY WORKS LTD.  
342-344 KWUN TONG ROAD, KWUN TONG  
UNIT A, CHUAN YUAN FACTORY  
BUILDING, 8/F.  
KOWLOON, HONG KONG

SERIAL NUMBER: 09933859  
PATENT NUMBER:

FILING DATE: 08/20/2001  
ISSUE DATE:

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

02-08-2002



101978353

JRM COVER SHEET

PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

JAN 24 2002

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File

☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Chan, Pak Nin

Execution Date  
Month Day Year  
08162001

Name (line 2)

Second Party

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Candy Novelty Works Ltd.

Name (line 2)

Address (line 1) Unit A, Chuan Yuan Factory Building, 8/F.,

Address (line 2) 342-344 Kwun Tong Road, Kwun Tong

Address (line 3) Kowloon

Hong Kong

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Joan H. Pauly

Address (line 1) BARNARD & PAULY, P.S.

Address (line 2) P.O. Box 58888

Address (line 3) Seattle, WA 98138-1888

Address (line 4)

FOR OFFICE USE ONLY

02/07/2002 LMUELLER 00000113 09933859

01 30:581

40.00 07

## Correspondent Name and Address

Area Code and Telephone Number

(206) 246-0568

Name Joan H. Pauly

Address (line 1) BARNARD &amp; PAULY, P.S.

Address (line 2) P.O. Box 58888

Address (line 3) Seattle, WA 98138-1888

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09933859

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT

PCT PCT PCT

## Number of Properties

Enter the total number of properties involved.

# 1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 02-0915

Authorization to charge additional fees:

Yes

☒

No

☐

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Joan H. Pauly

Name of Person Signing

Signature

November 16, 2001

Date

## ASSIGNMENT

WHEREAS I, Pak Nin Chan, an individual having a business address of Unit A, Chuan Yuan Factory Building, 8/F., 342-344 Kwun Tong Road, Kwun Tong, Kowloon, Hong Kong (hereinafter referred to as "Assignor") have invented and made a new and useful invention relating to a

### LOLLIPOP WITH FLUID RESERVOIR HANDLE AND METHOD OF MAKING SAME

for which invention ASSIGNOR has executed and filed an application for Letters Patent of the United States, which application has been identified by Serial No. 09/933,859, filed in the United States Patent and Trademark Office on August 20, 2001 (authority here being given to insert in this Assignment the serial number and filing date of said application);

WHEREAS, Candy Novelty Works Ltd., a corporation duly organized under the laws of Hong Kong and having a place of business at Unit A, Chuan Yuan Factory Building, 8/F., 342-344 Kwun Tong Road, Kwun Tong, Kowloon, Hong Kong, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title, and interest in and to said invention, said application, and said patent;

NOW, THEREFORE, for and in consideration of one dollar (\$1.00) and other good and valuable consideration, to me in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I have sold, assigned, and set over, and by these presents do hereby sell, assign, and set over, unto said ASSIGNEE and said ASSIGNEE's legal representatives, successors and assigns, the full and exclusive right, title, and interest in and to: said invention; said application for Letters Patent of the United States and any and all continuation, divisional, renewal, substitute or reissue applications based thereon that may hereafter be filed; all Letters Patent of the United States to be obtained therefor on said application or on any continuation, divisional, renewal, substitute or reissue thereof, for the full term or terms for which the same may be granted; all corresponding foreign applications that may or shall hereafter be filed; and, all foreign patents to be obtained on said invention for the full term or terms for which the same may be granted; said invention, application and Letters Patent, both foreign and domestic, to be held and enjoyed by ASSIGNEE for the use and benefit of ASSIGNEE and of ASSIGNEE's legal representatives, successors and assigns, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this Assignment and Sale not been made.


ASSIGNOR also agrees that this Assignment includes ASSIGNOR's Priority Rights under the International Convention with respect to any and all corresponding foreign applications that may or shall be filed in any country that is a signatory thereto and a member of the union there defined within the Convention period.

ASSIGNOR further agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment and Sale.

ASSIGNOR further agrees that he will, upon request by ASSIGNEE, but at ASSIGNEE's expense, promptly provide ASSIGNEE with all pertinent facts and documents

relating to said invention, said application or any continuation, divisional, renewal, substitute or reissue thereof, and said Letters Patent, both foreign and domestic, as may be known and accessible to ASSIGNOR; and that ASSIGNOR will testify as to the same in any interference, opposition or litigation related thereto, and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention, and said Letters Patent, both foreign and domestic, which may be necessary or desirable to carry out the purposes hereof.

Executed at \_\_\_\_\_, \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2001.



Pak Nin Chan  
Unit A, Chuan Yuan Factory Building, 8/F.  
342-344 Kwun Tong Road, Kwun Tong  
Kowloon, Hong Kong

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, personally appeared before me Pak Nin Chan, to me known to be the individual described in and who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.

Notary Public in and for \_\_\_\_\_  
residing in \_\_\_\_\_  
My commission expires on \_\_\_\_\_

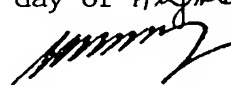
On this 16th day of August 2001, personally appeared before me Chan Pak Nin, to me known to be the individual described in and who executed the within and foregoing instrument, and acknowledge that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.

I hereby verify the signature of PETER CHAN this 16th day of August 2001.

WITNESS to the signature of  
CHAN PAK NIN this 16th day  
of August 2001.



Peter Chan  
Solicitor, Hong Kong SAR



Chan Suk Hung  
Notary Public  
residing in Hong Kong  
My commission expires on N/A